

ADVICE-40-005-27 Use of FSC-PRO-60-006b Risk Assessment Framework

D1-0

Normative reference	<p>FSC-STD-40-005 V3-1:</p> <ul style="list-style-type: none"> - 1.5 and 2.1 of Annex A, Clause 3.4 <p>FSC-STD-20-011 V4-2:</p> <ul style="list-style-type: none"> - Section 13
Approval date	FSC Director General, DD.MM.YYYY
Effective date	<p>1 July 2024</p> <p>Note for consultation: From this date onward, the organization with <u><FSC-STD-40-005 Requirements for Sourcing FSC Controlled Wood></u> in their certification scope can start applying this Advice Note.</p>
Scope	<p>This advice note applies to the organization sourcing controlled material under <u><FSC-STD-40-005 Requirements for Sourcing FSC Controlled Wood></u> and its certification body.</p>
Transition end date	<p>31 December 2025</p> <p>Note for consultation: By the end of the transition period the organization with <u><FSC-STD-40-005 Requirements for Sourcing FSC Controlled Wood></u> in the scope shall be conformant with this Advice Note.</p>
Terms and Definitions	<p>Negligible risk: A conclusion, following a risk assessment, that either there is no cause for concern that material from a specific geographic area originates from unacceptable sources, or that material is mixed with non-eligible inputs or material with a different origin in such a way that would not allow the level of risk related to origin to be confirmed as negligible.</p> <p>NOTE: The term has been adopted from the Regulation (EU) 2023/1115 of the European Parliament and Of the Council of 31 May 2023 and changed from 'low risk' to 'negligible risk'.</p> <p>Negligible risk area: An area where 'negligible risk' for sourcing material has been designated through the risk assessment described in <u><FSC-PRO-60-006b Risk Assessment Framework></u>.</p> <p>Non-negligible risk: A conclusion, following a risk assessment, that there is cause for concern that material from unacceptable sources may have been sourced or entered the supply chain from a specific geographic area. The nature and extent of this risk is specified for the purpose of defining efficient mitigation measures.</p>

NOTE: The term has been adopted from the Regulation (EU) 2023/1115 of the European Parliament and Of the Council of 31 May 2023 and changed from ‘specified risk’ to ‘non-negligible risk’.

Non-negligible risk area: An area where ‘non-negligible risk’ for sourcing material has been designated through the risk assessment process as described in <FSC-PRO-60-006b Risk Assessment Framework>.

Unacceptable sources: Sources of material that do not meet the requirements of a risk assessment.

Unassessed risk: A conclusion, following a National Risk Assessment conducted according to <FSC-PRO-60-006b Risk Assessment Framework> or a company risk assessment, that there is risk which cannot be determined as negligible risk that forest products from unacceptable sources may be sourced or enter the supply chain from a specific geographic area.

NOTE: The terms and definitions are used for the purpose of this advice note only.

Abbreviations

EUDR: Regulation (European Union) 2023/1115 on deforestation-free products

DDS: Due Diligence System

RA: Risk Assessment

Background

To enable alignment with the EUDR, FSC is accelerating the implementation of the changes driven by the FSC Policy to Address Conversion, as well as integrity needs

Advice

Section 1: Certificate holder requirements

- 1.1 When conducting an extended company risk assessment for the first time (Clause 2.1 in Annex A of FSC-STD-40-005) or conducting the annual review (Clause 1.5 in Annex A of FSC-STD-40-005), the organization shall follow the relevant requirements in <FSC-PRO-60-006b Risk Assessment Framework>.
- 1.2 The organization shall use the terms “negligible risk” instead of “low risk” and “non-negligible risk” instead of “specified risk” in its DDS, including:
 - a) When assessing and documenting the risk of mixing material with non-eligible inputs in its supply chains during transport, processing, and storage according to Clause 3.4 of <FSC-STD-40-005 Requirements for Sourcing FSC Controlled Wood>; and
 - b) When providing a written summary of its DDS to the certification body according to Clause 6.1 in <FSC-STD-40-005 Requirements for Sourcing FSC Controlled Wood>.

NOTE: FSC risk assessments developed according to <FSC-PRO-60-002a FSC National Risk Assessment Framework> remain valid until replaced by FSC risk assessments developed according to <FSC-PRO-60-006b Risk Assessment Framework>. The “low” and “specified” risk designations concluded in these FSC Risk

Assessments are to be referred to as “negligible” and “non-negligible” respectively in the DDS.

- 1.3** When implementing requirements in Section 4 in <FSC-STD-40-005 Requirements for Sourcing FSC Controlled Wood> which are referring to controlled wood categories 2 and 3 while using risk assessments developed according to <FSC-PRO-60-006b Risk Assessment Framework>, the organization shall refer to corresponding sets of indicators identified in <FSC-PRO-60-006b Risk Assessment Framework> instead.

Section 2: Certification body requirements

The certification body shall use the terms “negligible risk” instead of “low risk” and “non-negligible risk” instead of “specified risk” in the evaluation report and in the public certification summary (Section 13 of FSC-STD-20-011) for the controlled wood evaluation.
